

OCT. -23' 02 (WED) 14:40

P. 030

Attorney's Docket No. 35718/201902 (5718-103)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Bidney *et al.* Group Art Unit: 1638
Appl. No.: 09/714,767 Examiner: (not yet assigned)
Filed: November 16, 2000
For: SUNFLOWER RHOGAP, LOX, ADH, AND SCIP-1
POLYNUCLEOTIDES AND METHODS OF USE

April 25, 2001

Commissioner for Patents
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT
CITATION UNDER 37 C.F.R. § 1.97**

Sir:

Attached is a list of documents on Form PTO-1449 together with a copy of each identified document. It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

It is further requested that upon issuance, the cited pending U.S. Application (No. 59) not be printed on the face of the patent.

Respectfully submitted,

Kelly J. Williamson

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Date of Deposit: April 25, 2001

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Rebecca Kerney

RTA01/200500301

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Rebecca Kerney
Rebecca Kerney

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P. 028

Attorney's Docket No. 35718/201902 (5718-103)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|------------|--|-------------------|--------------------|
| In re: | Bidney, et al. | Confirmation No.: | 5068 |
| Appl. No.: | 09/714,767 | Group Art Unit: | 1638 |
| Filed: | 11/16/2000 | Examiner: | (not yet assigned) |
| For: | SUNFLOWER RHOGAP, LOX, ADH, AND SCIP-1 POLYNUCLEOTIDES AND METHODS OF USE | | |

September 27, 2001

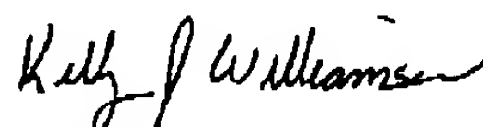
Commissioner for Patents
Washington, DC 20231

SUPPLEMENTAL CITATION UNDER 37 C.F.R. § 1.97

Sir:

Attached is a Supplemental Form PTO-1449 listing several documents cited in the International Search Report for the corresponding International Application Number PCT/US00/31187 not more than three months prior to the filing of this Statement. A copy of each document, including the Search Report, is enclosed. It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

Respectfully submitted,

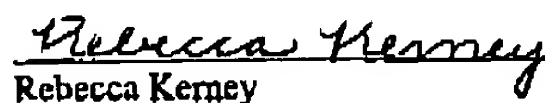


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Rebecca Kerney

RTA01/2103488v1

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| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | | Complete if Known | | |
| | | | | Application Number | 09/714,767 | |
| | | | | Filing Date | November 16, 2000 | |
| | | | | First Named Inventor | Bidney | |
| | | | | Group Art Unit | 1638 | |
| Examiner Name | (not yet assigned) | | | | | |
| Sheet 1 of 1 | Attorney Docket Number | | 35718/201902 (5718-103) | | | |
| U. S. PATENT DOCUMENTS | | | | | | |
| Examiner Initials ¹ | Cite No. ¹ | U.S. Patent Document Number | Kind Code ² (if known) | Name of Patentee or Applicant Of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear |
| | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | |
| Examiner Initials | Cite No. | Foreign Patent Document Office ³ Number ⁴ | Kind Code (if known) ⁵ | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | 60 | WO | 99/04013 | A2 | Pioneer Hi-Bred International, Inc. | 01-28-1999 |
| | 61 | EP | 1 033 405 | A2 | Ceres Incorporated | 09-06-2000 |
| NON PATENT LITERATURE DOCUMENTS | | | | | | |
| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | | T |
| | 62 | EMBL Database Report for Accession No. AW031283, September 17, 1999 (XP-002174684). | | | | |
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| | 67 | Invitation to Pay Additional Fees with Partial Search Report mailed August 27, 2001 for International Application No. PCT/US00/31187 filed November 13, 2000. | | | | |
| Examiner Signature | | | | | Date Considered | |

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RTA01/2103489v1

¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document as indicated on the document under WIPO Standard ST.16 if possible.

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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | | Complete if Known | | | | |
| | | | | Application Number | 09/714,767 | | | |
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| | | | | First Named Inventor | Bidney | | | |
| | | | | Group Art Unit | 1638 | | | |
| Examiner Name | (not yet assigned) | | | | | | | |
| Sheet | 1 | of | 4 | Attorney Docket Number | 35718/201902 (5718-103) | | | |
| U. S. PATENT DOCUMENTS | | | | | | | | |
| Examiner Initials* | Cite No. ¹ | U.S. Patent Document Number | Kind Code ² (if known) | Name of Patentee or Applicant Of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear | | |
| | 1 | 5,844,121 | | The Texas A&M University System | 12-01-1998 | Whole Document | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | | |
| Examiner Initials | Cite No. | Foreign Patent Document Office ³ | Number ⁴ | Kind Code ⁵ (if known) | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| NON PATENT LITERATURE DOCUMENTS | | | | | | | | |
| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | | | T | |
| | 2 | BARRETT <i>et al.</i> (1997) "The Structure of the GTPase-Activating Domain from p50rhoGAP" <i>Nature</i> 385:458-461. | | | | | | |
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| Examiner Signature | | | | | Date Considered | | | |

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⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶ If language translation is attached.

| Substitute for form 1449A/PTO | | | | Complete if Known | |
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| Sheet | 2 | of | 4 | Attorney Docket Number | 35718/201902 (5718-103) |
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| | 27 | NCBI Accession No. AB024712. | | | |
| | 28 | NCBI Accession No. AB027456. | | | |
| | 29 | NCBI Accession No. AB027504. | | | |
| Examiner Signature | | | | Date Considered | |

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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | | | Application Number | 09/714,767 |
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| | | | | Examiner Name | (not yet assigned) |
| Sheet | 3 | of | 4 | Attorney Docket Number | 35718/201902 (5718-103) |
| | 30 | NCBI Accession No. AB027506. | | | |
| | 31 | NCBI Accession No. AF064787. | | | |
| | 32 | NCBI Accession No. AF145261. | | | |
| | 33 | NCBI Accession No. AF159882. | | | |
| | 34 | NCBI Accession No. CAA42686. | | | |
| | 35 | NCBI Accession No. CAB50064. | | | |
| | 36 | NCBI Accession No. D16111. | | | |
| | 37 | NCBI Accession No. D87130. | | | |
| | 38 | NCBI Accession No. NDPS7A. | | | |
| | 39 | NCBI Accession No. O16264. | | | |
| | 40 | NCBI Accession No. O84741. | | | |
| | 41 | NCBI Accession No. P00331. | | | |
| | 42 | NCBI Accession No. P12994. | | | |
| | 43 | NCBI Accession No. P16740. | | | |
| | 44 | NCBI Accession No. P28032. | | | |
| | 45 | NCBI Accession No. P38418. | | | |
| | 46 | NCBI Accession No. P38419. | | | |
| | 47 | NCBI Accession No. P48977. | | | |
| | 48 | NCBI Accession No. P54186. | | | |
| | 49 | NCBI Accession No. P77368. | | | |
| | 50 | NCBI Accession No. P93698. | | | |
| | 51 | NCBI Accession No. Q40249. | | | |
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| | | | | Group Art Unit | 1638 |
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| Sheet | 4 | of | 4 | Attorney Docket Number | 35718/201902 (5718-103) |
| | 52 | NCBI Accession No. Q41261. | | | |
| | 53 | NCBI Accession No. Q96573. | | | |
| | 54 | NCBI Accession No. U84140. | | | |
| | 55 | NCBI Accession No. X54818. | | | |
| | 56 | NCBI Accession No. X62362. | | | |
| | 57 | NCBI Accession No. Z19625. | | | |
| | 58 | NCBI Accession No. Z23024. | | | |
| Examiner Signature | | | | Date Considered | |

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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Please do not print citations contained on this form on patent) | | | | Complete If Known | |
|---|--------------------------|------------------|---|---|---|
| Sheet 1 of 1 | | | | Application Number | 09/714,767 |
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| U. S. PATENT APPLICATIONS | | | | | |
| Examiner Initials* | Cite No. ¹ | Serial Number | Name of Applicant of Cited Application | Filing Date of Cited Application MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear |
| | 59 | 09/714,071 | Duvick et al. | 11-16-00 | Whole Document |
| DUPLICATE | | | | | |
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